



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP-2161
PATENT
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Technology Center 2100

Application No.: 09/895,281
Filing Date: June 29, 2001
Title: SINGLE PARTY BUYING AND SELLING COMMODITIES WITH
MULTIPLE COUNTERPARTIES
Applicants: Louise J. Kitchen et al.
Group Art Unit: 2161
Atty Docket No.: ENR100/4-15

CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Box Non-Fee Amendment
Washington, D.C. 20231

Dear Madam/Sir:

Date of Deposit: December 27, 2001

I hereby certify that I have a reasonable basis to expect that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed and dated as indicated above.


This correspondence includes the following enclosures:

1. Preliminary Amendment;
2. Fee Transmittal Sheet, which indicates no fee is due;
3. Supplemental Information Disclosure Statement;
4. Form PTO 1449;
5. Copy of each reference cited in Form PTO 1449; and
6. Stamped return postcard.

If additional fees are required please charge Deposit Account No. 22-0365.

Respectfully submitted,

Date: December 27, 2001


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FREE TRANSMITTAL for FY 2001 <small>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28</small>	Complete if Known		
	Application Number	09/895,281	
	Filing Date	06/29/2001	
	First Named Inventor	Louise J. Kitchen	
	Examiner Name		
	Group / Art Unit	2161	
TOTAL AMOUNT OF PAYMENT (\$)	0	Attorney Docket No.	ENR100/4-15

METHOD OF PAYMENT (check one) 1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 22-0365 Deposit Account Name: Vinson & Elkins L.L.P. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr><tr><td>123</td><td>50</td><td>123</td><td>180</td><td>Petitions related to provisional applications</td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr></tbody></table> Other fee (specify) _____ Other fee (specify) _____ * Reduced by Basic Filing Fee Paid	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	139	130	139	130	Non-English specification	147	2,520	147	2,520	For filing a request for reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	890	217	445	Extension for reply within third month	118	1,390	218	695	Extension for reply within fourth month	128	1,890	228	945	Extension for reply within fifth month	119	310	219	155	Notice of Appeal	120	310	220	155	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive - unavoidable	141	1,240	241	620	Petition to revive - unintentional	142	1,240	242	620	Utility issue fee (or reissue)	143	440	243	220	Design issue fee	144	600	244	300	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	50	123	180	Petitions related to provisional applications	126	180	126	180	Submission of Information Disclosure Stmt	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
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Signature	Steve Hodgson	Telephone	713-758-2604
		Date	Dec. 27, 2001